

Application Data Sheet

Application Information

Application number:	TBA
Filing Date:	12/28/01
Application Type:	Regular
Subject Matter:	Utility
Suggested Classification:	
Suggested Group Art Unit:	1754
CD-ROM or CD-R?:	None
Number of CD disks:	
Number of copies of CDs:	
Sequence Submission?:	None
Computer Readable Form (CRF)?:	No
Number of copies of CRF:	
Title:	METHOD FOR PRODUCING SELF- ASSEMBLED OBJECTS COMPRISING SINGLE-WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF
Attorney Docket Number:	11321-P012USD10
Request for Early Publication?:	No
Request for Non-Publication?:	No
Suggested Drawing Figure:	1
Total Drawing Sheets:	21
Small Entity?:	Yes
Petition included?:	No
Petition Type:	
Licensed US Govt. Agency:	
Contract or Grant Numbers:	
Secrecy Order in Parent Appl.?:	No

Applicant Information

Applicant Authority type: Inventor
Primary Citizenship Country: US
Status: Full Capacity
Given Name: Richard
Middle Name: E.
Family Name: Smalley
Name Suffix:
City of Residence: Houston
State or Province of Residence: TX
Country of Residence: US
Street of mailing address: 1816 Bolsover Street
City of mailing address: Houston
State or Province of mailing address: TX
Country of mailing address: US
Postal or Zip Code of mailing address: 77005

Applicant Authority type: Inventor
Primary Citizenship Country: US
Status: Full Capacity
Given Name: Daniel
Middle Name: T.
Family Name: Colbert
City of Residence: Houston
State or Province of Residence: TX
Country of Residence: US
Street of mailing address: 1911 Milford Street
City of mailing address: Katy
State or Province of mailing address: TX
Country of mailing address: US
Postal or Zip Code of mailing address: 77098

Applicant Authority type: Inventor
Primary Citizenship Country: US
Status: Full Capacity
Given Name: Hongjie
Middle Name:
Family Name: Dai
Name Suffix:
City of Residence: Sunnyvale
State or Province of Residence: CA
Country of Residence: US
Street of mailing address: 365 Pescadero Street
City of mailing address: Sunnyvale
State or Province of mailing address: CA
Country of mailing address: US
Postal or Zip Code of mailing address: 94068

Applicant Authority type: Inventor
Primary Citizenship Country: CN/US
Status: Full Capacity
Given Name: Jie
Middle Name:
Family Name: Liu
Name Suffix:
City of Residence: Houston
State or Province of Residence: TX
Country of Residence: US
Street of mailing address: 5825 Gulfon #3214
City of mailing address: Houston
State or Province of mailing address: TX
Country of mailing address: US
Postal or Zip Code of mailing address: 77081

Applicant Authority type: Inventor
Primary Citizenship Country: US
Status: Full Capacity
Given Name: Ken
Middle Name:
Family Name: Smith
City of Residence: Houston
State or Province of Residence: TX
Country of Residence: US
Street of mailing address: 11322 Birdwing Lane
City of mailing address: Houston
State or Province of mailing address: TX
Country of mailing address: US
Postal or Zip Code of mailing address: 77067

Applicant Authority type: Inventor
Primary Citizenship Country: CN/US
Status: Full Capacity
Given Name: Ting
Middle Name:
Family Name: Guo
City of Residence: La Jolla
State or Province of Residence: CA
Country of Residence: US
Street of mailing address: 8176 Caminto Sonoma
City of mailing address: La Jolla
State or Province of mailing address: CA
Country of mailing address: US
Postal or Zip Code of mailing address: 92037

Applicant Authority type:	Inventor
Primary Citizenship Country:	RU/US
Status:	Full Capacity
Given Name:	Pavel
Middle Name:	
Family Name:	Nikolaev
City of Residence:	Houston
State or Province of Residence:	TX
Country of Residence:	US
Street of mailing address:	2232½ Troon
City of mailing address:	Houston
State or Province of mailing address:	TX
Country of mailing address:	US
Postal or Zip Code of mailing address:	77019

Applicant Authority type:	Inventor
Primary Citizenship Country:	DE
Status:	Full Capacity
Given Name:	Andreas
Middle Name:	
Family Name:	Thess
City of Residence:	Martinsried
State or Province of Residence:	
Country of Residence:	DE
Street of mailing address:	Max-Planck-Institut Für, Biochemie Abteilung Molekulace Strukturbiologie, Am Klopferspitz 189
City of mailing address:	Martinsried
State or Province of mailing address:	
Country of mailing address:	DE
Postal or Zip Code of mailing address:	D-82152

Correspondence Information

Correspondence Customer Number:

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Representative Designation:

Registration Number:

Representative Name:

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Associate	36,574	Hugh R. Kress
Associate	41,297	Reece A. Scott

Domestic Priority Information

Application:	Continuity Type:	Parent Application:	Parent Filing Date:
This Application	Division of	09/380,545	09/03/1999
09/380,545	US National Stage	PCT/US98/04513	03/06/1998
PCT/US98/04513	PCT	60/067,325	12/05/1997
		60/064,531	11/05/1997
		60/063,675	10/29/1997
		60/055,037	08/08/1997
		60/047,854	05/29/1997
		60/040,152	03/07/1997

Foreign Priority Information

Country:	Application number:	Filing Date:	Priority Claimed:

Assignee Information

Assignee name: William Marsh Rice University
Street of mailing address: 6100 Main Street
City of mailing address: Houston
State or Province of mailing address: TX
Country of mailing address: US
Postal or Zip Code of mailing address: 77005

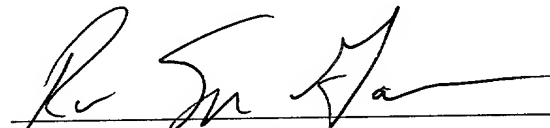
IN RE: APPLICATION OF SMALLEY ET AL.
PRELIMINARY AMENDMENT ACCOMPANYING REQUEST FOR FILING DIVISIONAL APPLICATION UNDER
37 C.F.R. § 1.53(b)

CONCLUSION

It is believed that each of the claims now pending in the present application recites elements neither taught nor suggested by the prior art. Further, it is believed that the application as a whole is in proper form and condition for allowance. If the Examiner believes that the application may be placed in even better condition for allowance, he or she is invited to contact the undersigned at the telephone number noted below. Alternatively, or in addition, if the Examiner believes that an Examiner interview would be beneficial, the Examiner is invited to note that the undersigned has ready access to the videoconferencing facilities of the South Central Intellectual Property Partnership at Rice University in Houston, Texas. The inventors and the undersigned would welcome the opportunity to use those facilities to clarify any issues deemed to remain unresolved.

Respectfully submitted,

Date: December 28, 2001



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ATTORNEYS FOR ASSIGNEE

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12/28/2001 - 11321-P012USD10